

SP02-275 *1fw*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bhagavatula, et al

Serial No: 10/699450

Examiner: Unknown

Filed: 10/31/2003

Group Art Unit: 2874

For: SMALL MODE-FIELD FIBER LENS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

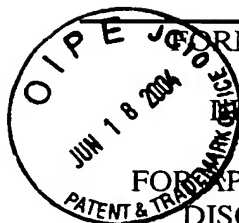
Respectfully submitted,

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Date: *6/14/04*

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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on | |
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| Date of Deposit | |
| <i>Colleen E. Doherty</i> | |
| Signature | |
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| Date of Signature | |



FORM PTO-1449 (MODIFIED)

OFFICE OF PATENTS AND
PUBLICATIONSFOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP02-275

SERIAL NO.

10/699450

APPLICANT: Bhagavatula, et al.

FILING DATE 10/31/2003

GROUP: 2874

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Sub- Class | Filing Date if Approp. |
|---------------------|----|--------------------|---------|--------------------|-------|---------------|---------------------------|
| | AA | 5,751,871 | 5/12/98 | Krivoshlykov et al | 385 | 33 | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub- Class | Translation Yes No |
|--|----|-----------------|---------|---------|-------|---------------|-----------------------|
| | AL | WO03/076994 | 9/18/03 | PCT | G02B | 6/32 | |
| | AM | | | | | | |
| | AN | | | | | | |
| | AO | | | | | | |
| | AP | | | | | | |
| | AQ | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AR | |
| | AS | |
| | AT | |
| | AU | |
| | AV | |
| | AW | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.